

Adjacency-constrained hierarchical clustering of a band similarity matrix with application to genomics

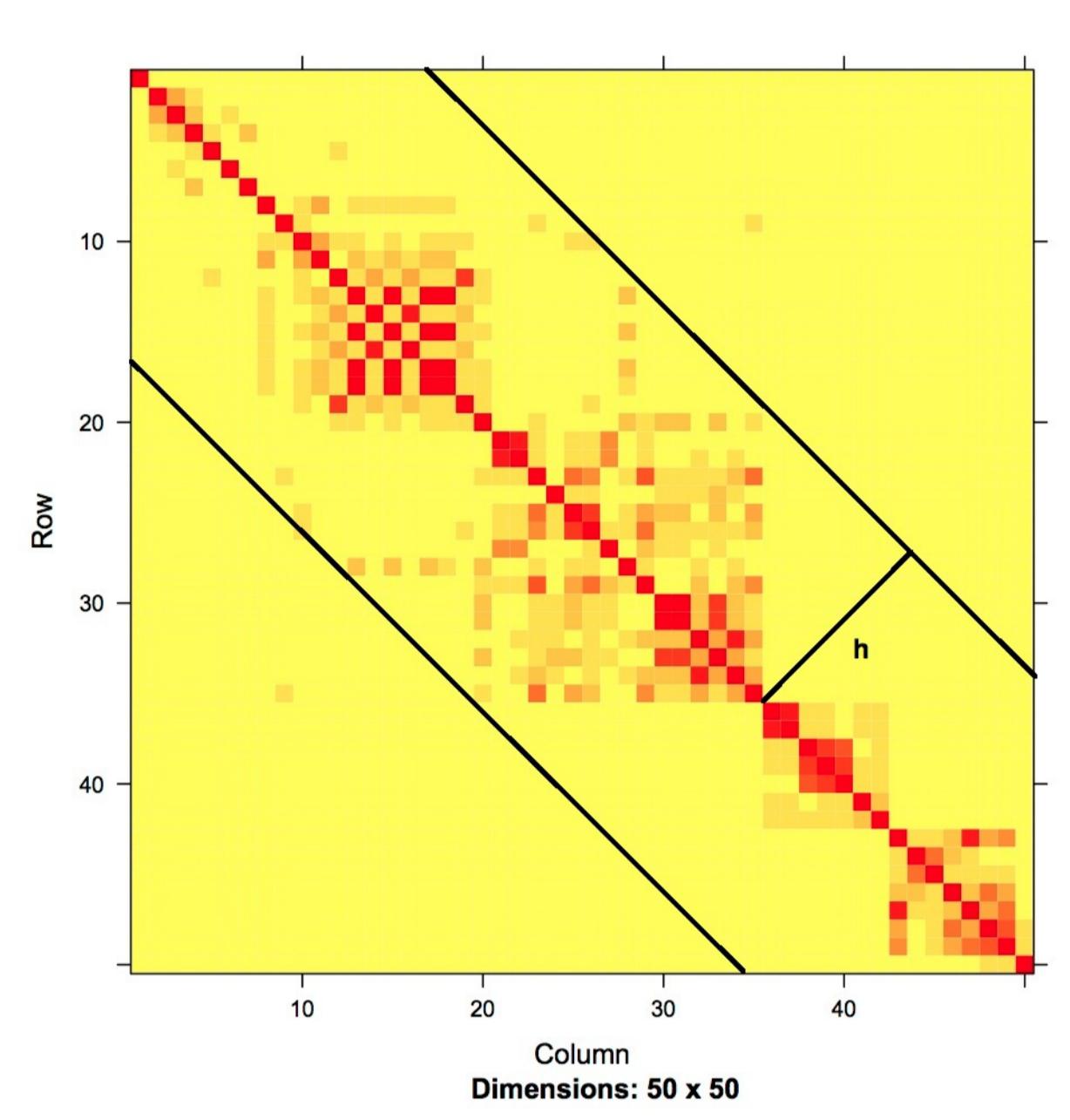
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Motivation: Regionally-structured genomic data

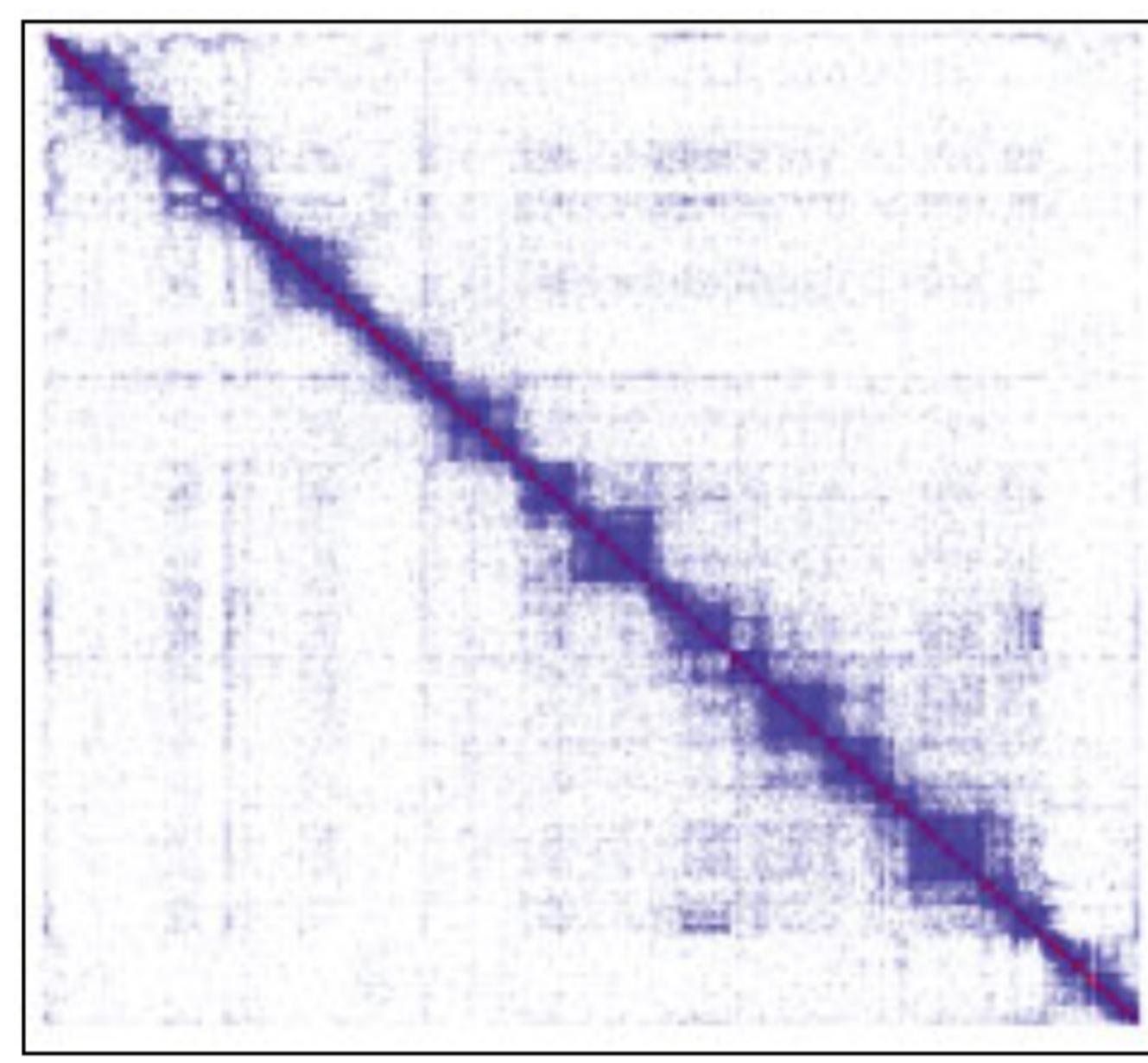
Genome-Wide Association Studies (GWAS)

- loci: SNP
- similarity: linkage disequilibrium
- regions: LD/haplotype blocks



Chromosome contact maps (Hi-C)

- loci: binned genome positions
- similarity: contact intensity
- regions: TAD; A/B compartments



Key 2: Storing candidate fusions in a min-heap

Min heap

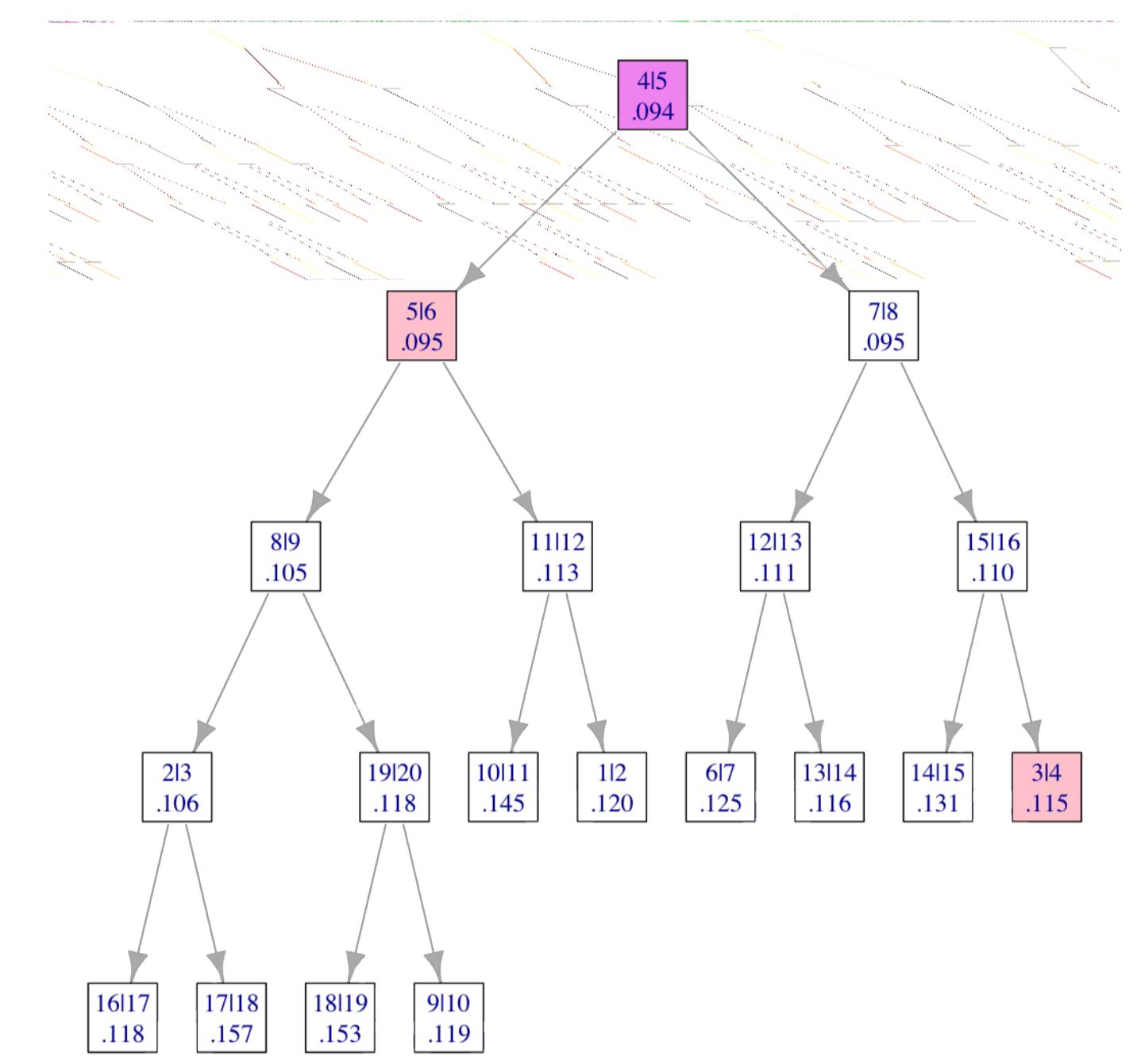
A partially ordered binary tree

- nodes = candidate merges
- ordering given by the linkage δ

→ next candidate fusion is the root of the heap

Complexity

- $O(ph)$ in space
- $O(p(h + \log(p)))$ in time



Implementation

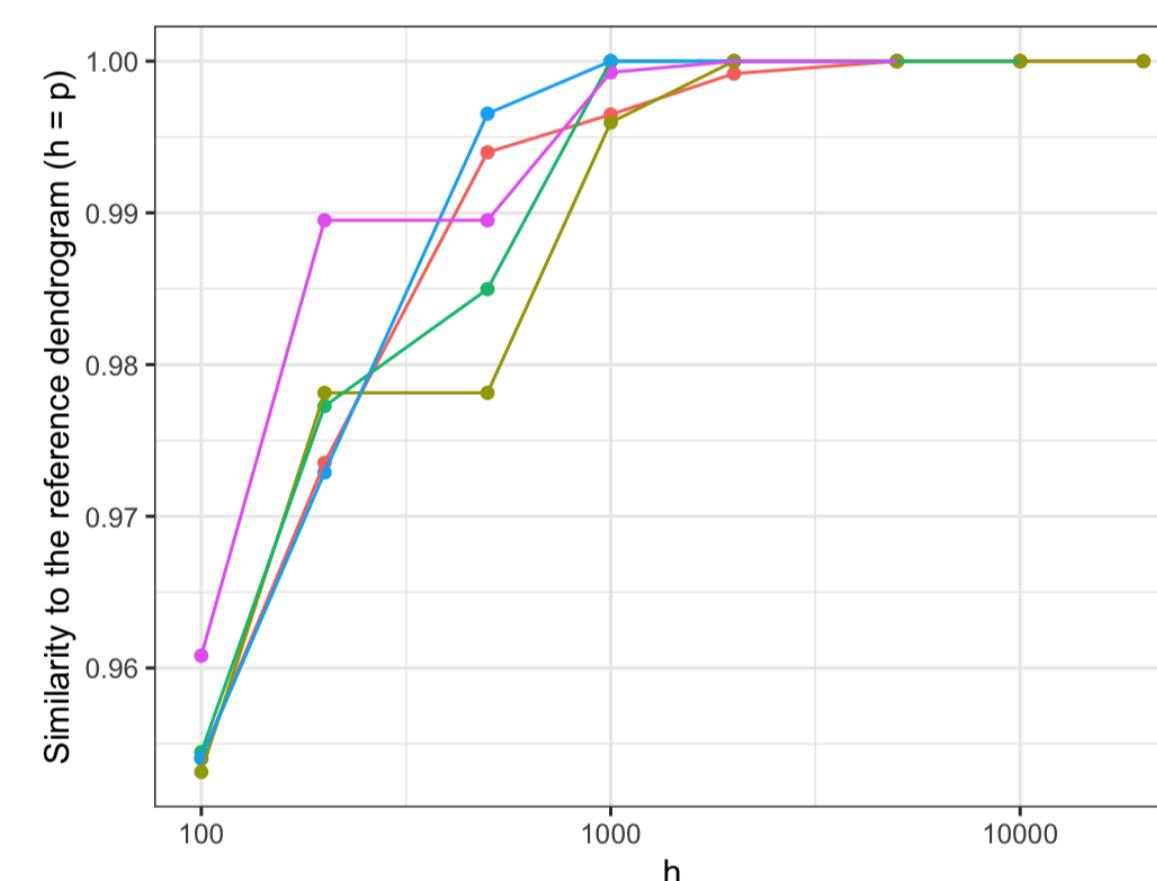
R package adjclust³

- plots of similarity, dendrogram and clustering
- wrappers for SNP or Hi-C data analyses
- model selection by broken stick⁴ or slope heuristic⁵

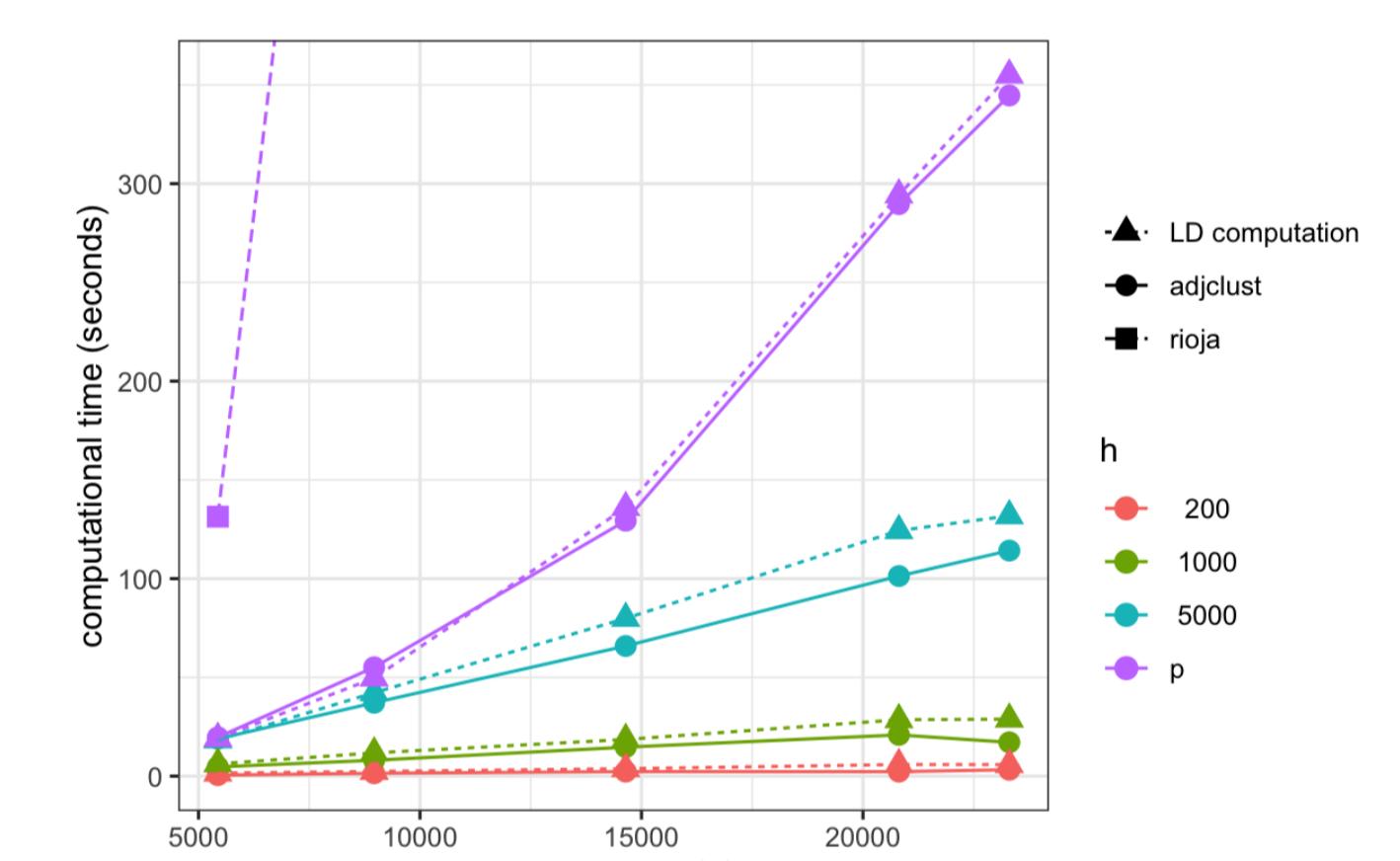
GWAS: inferring linkage disequilibrium blocks

Band approximation

Quality index: proportion of of approximation vs h



Scalability

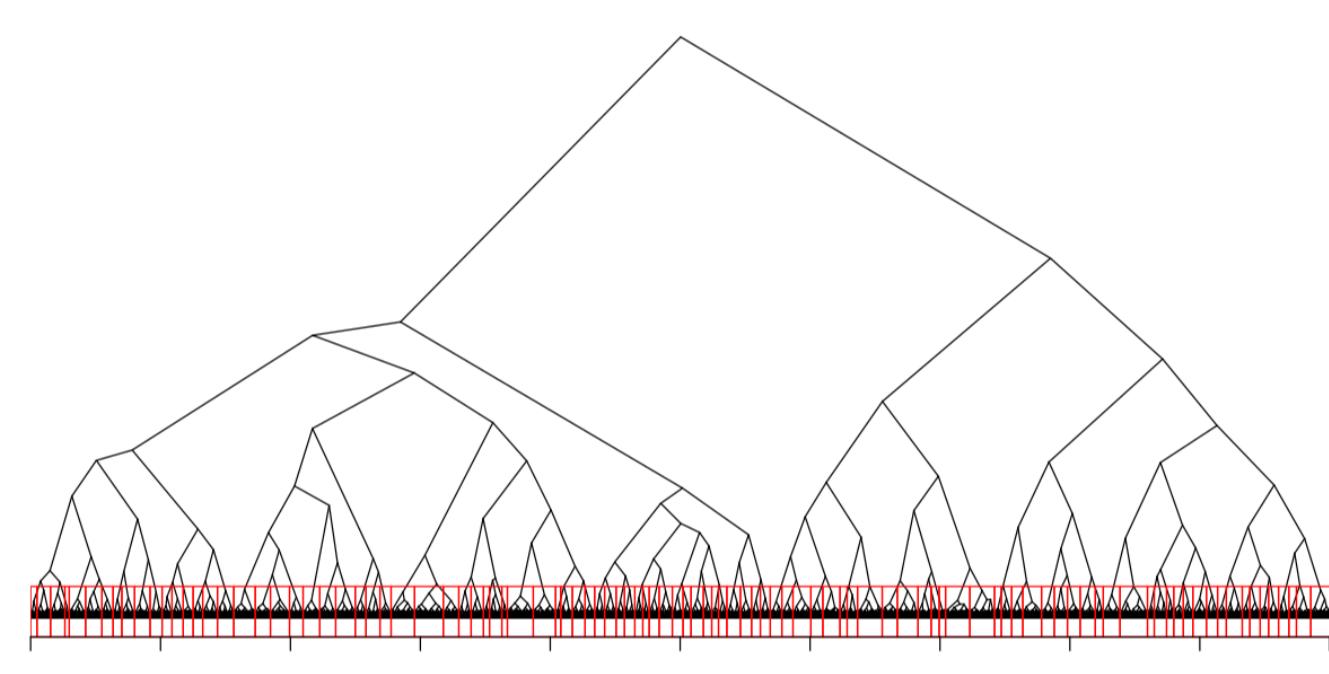


Data from [6]

Goal: Segmentation by constrained HAC

Hierarchical Agglomerative Clustering (HAC)

- Input: p objects, similarity S
- Repeat $p - 1$ times: merge the most similar clusters
- Output: A dendrogram describing the sequence of merges



Adjacency-constrained HAC: only merge adjacent clusters

- Improved time complexity: quadratic ($O(p^2)$)
- Space complexity ($O(p^2)$): can be improved in specific applications¹

Still too high for Hi-C, GWAS: $p \sim 10^4 - 10^5$ for each chromosome.

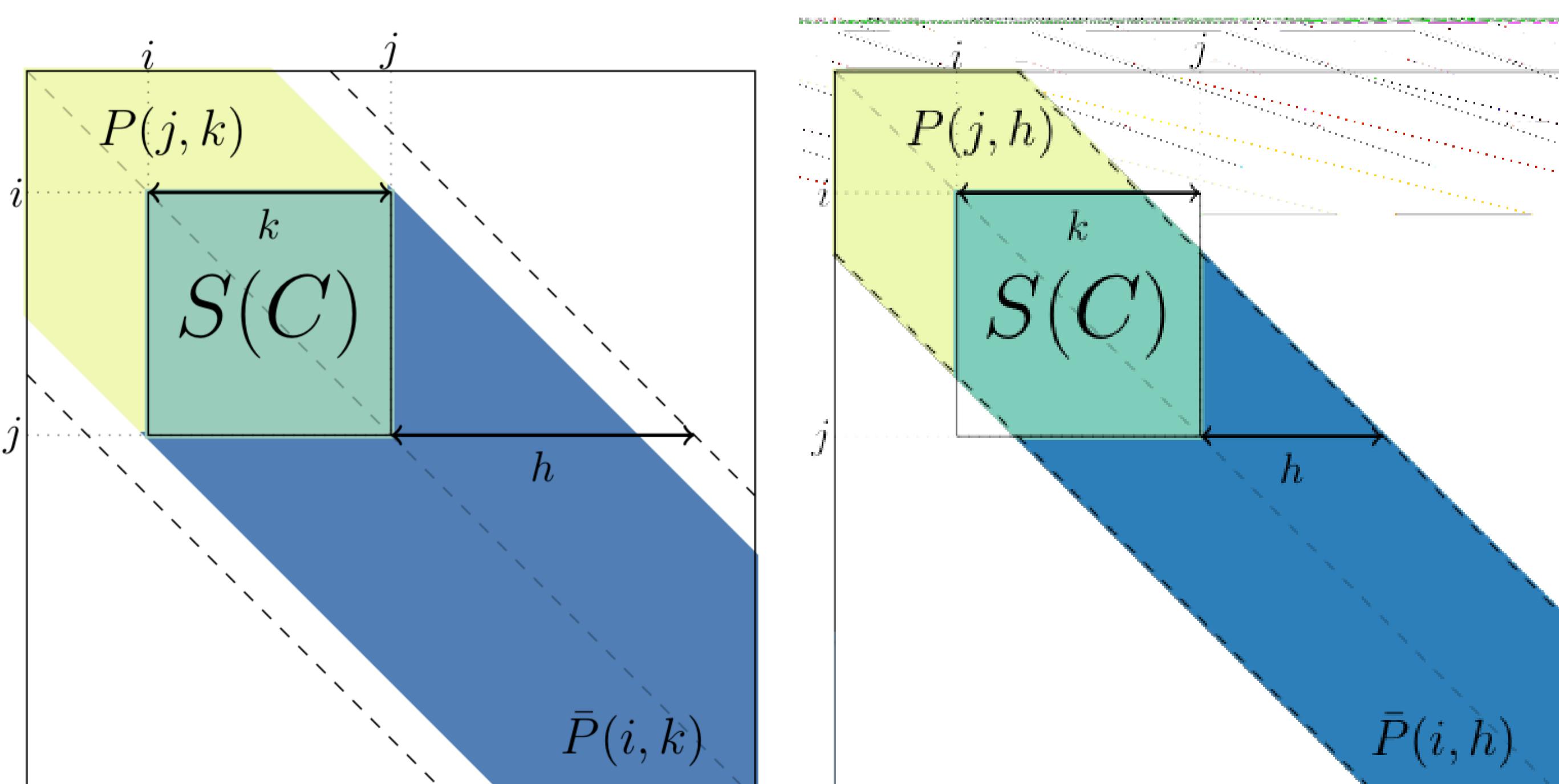
Contribution: a quasi-linear algorithm²

Extra assumption: **band diagonal similarity**

Key 1: Ward's linkage in constant time

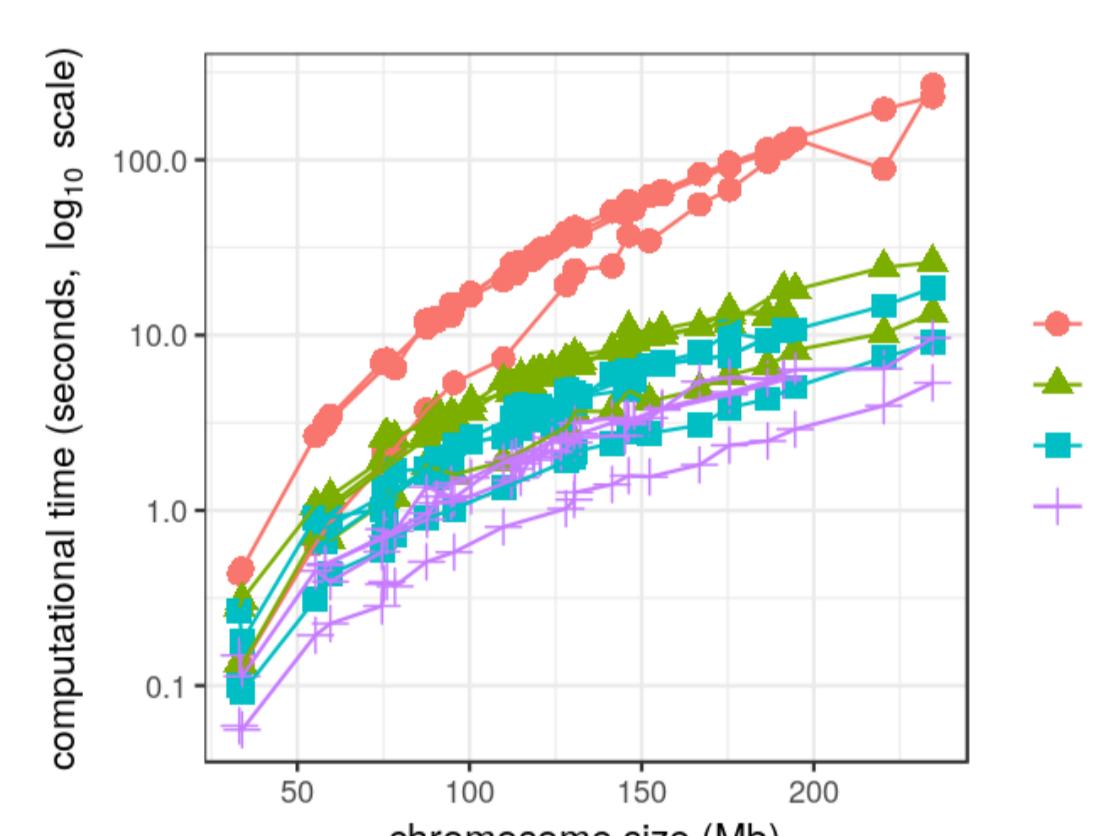
Distance between clusters: Ward's linkage

$$\delta(C, C') = \frac{S(C)}{|C|} + \frac{S(C')}{|C'|} - \frac{S(C \cup C')}{|C \cup C'|}, \quad S(C) = \sum_{(i,j) \in C^2} s_{ij}$$



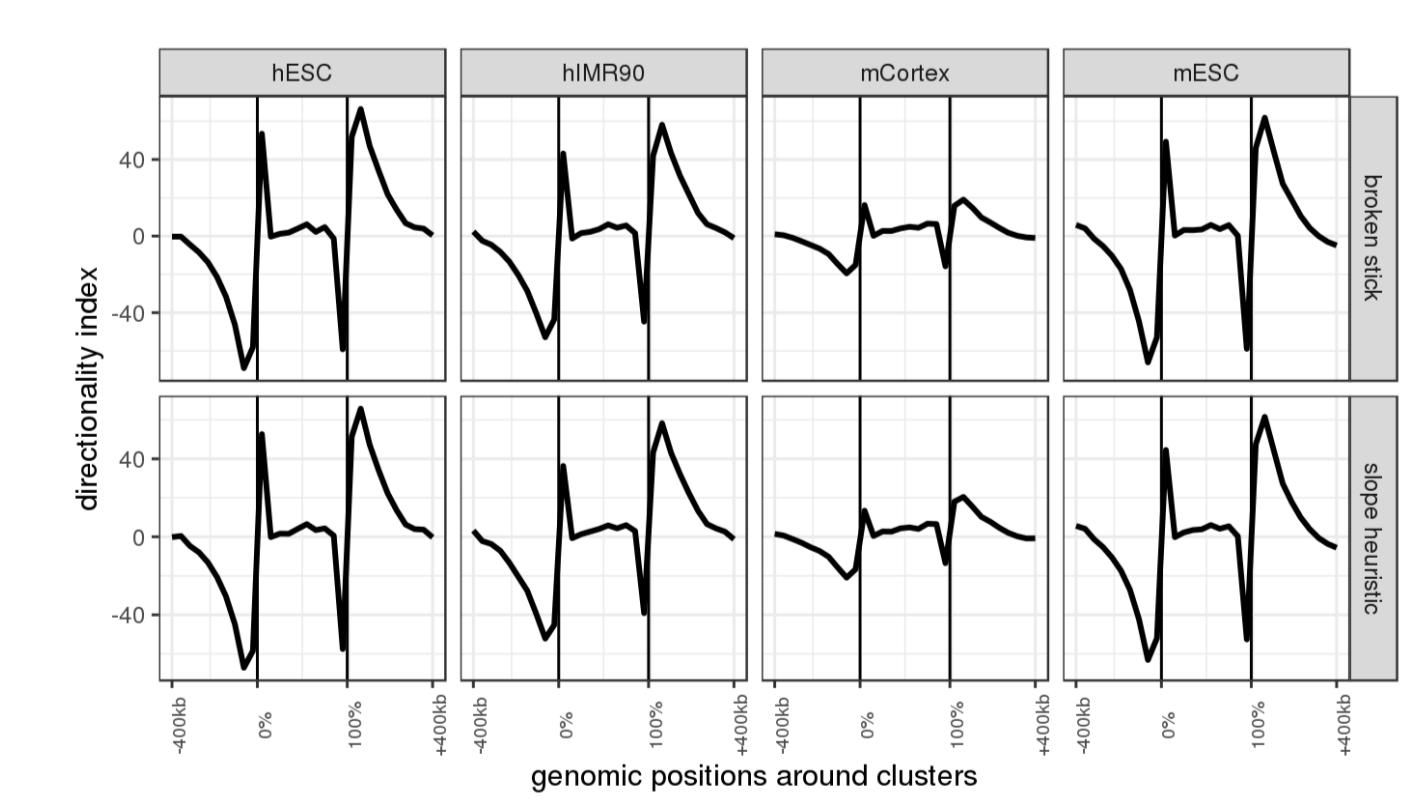
Hi-C: inferring Topologically Associated Domains

Influence of bandwidth



Data from [7] and [8]

DI around clusters



Directionality Index (DI, [7]) values are expected to show a sharp variation at TADs boundaries

References

1. A. Dehman, C. Ambroise, and P. Neuvial, *BMC Bioinformatics* **16**, 148 (2015).
2. C. Ambroise, A. Dehman, P. Neuvial, G. Rigaill, and N. Vialaneix, (2019).
3. C. Ambroise and others, *Adjclust: Adjacency-Constrained Clustering of a Block-Diagonal Similarity* (2018).
4. K.D. Bennett, *New Phytologist* **132**, 155 (1996).
5. S. Arlot, V. Brault, J.-P. Baudry, and others, *Capusse: CALibrating Penalties Using Slope Heuristics* (2016).
6. C. Dalmasso, W. Carpentier, L. Meyer, and others, *PLoS ONE* **3**, (2008).
7. J. Dixon, S. Selvaraj, F. Yue, A. Kim, Y. Li, Y. Shen, M. Hu, J. Liu, and B. Ren, *Nature* **485**, 376 (2012).
8. Y. Shen, F. Yu, D.F. McCleary, Z. Ye, L. Edsall, and others, *Nature* **488**, 116 (2012).