

1 **Molecular biomarkers for weight control in obese individuals subjected to a multi-phase dietary  
2 intervention**

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34

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38

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41 **ABSTRACT**

42 *Context.* While calorie restriction has proven beneficial for weight loss, long-term weight control is variable  
43 between individuals.

44 *Objective.* We aimed to identify biomarkers of weight control during a dietary intervention (DI), which  
45 included 8-weeks of calorie-restriction and 6-months of follow-up.

46 *Design.* Adipose tissue (AT) transcriptomes were compared between 21 obese individuals that had either  
47 good (maintained weight loss) or poor (regained weight) weight control during the DI. Selected genes were  
48 validated on 310 individuals from the same study using RT-qPCR, and protein levels of potential circulating  
49 biomarkers were measured by ELISA.

50 *Results.* We evaluated 4 genes that had altered expression during the DI, encode secreted proteins, and have  
51 not previously been implicated in weight control (*EGFL6*, *FSTL3*, *CRYAB*, *IGFBP3*); as well as 2 genes for  
52 which baseline expression was different between those with good and poor weight control (*ASPN*, *USP53*).

53 Changes in plasma concentration of *EGFL6*, *FSTL3*, and *CRYAB* mirrored AT mRNA expression, all  
54 decreased during DI in individuals with good weight control. *ASPN* and *USP53* had higher baseline  
55 expression in individuals that went on to have good weight control, and eQTL analysis found polymorphisms  
56 associated with expression levels of *USP53* in AT. A regulatory network was identified in which TGF $\beta$ 1 was  
57 responsible for down-regulation of certain genes during DI in good-controllers. Interestingly, *ASPN* is a  
58 TGF $\beta$ 1 inhibitor.

59 *Conclusions.* This study found circulating biomarkers associated with weight control, which could serve to  
60 adjust weight management strategies, and genes that may be prognostic for successful weight control.

61

62 **INTRODUCTION**

63        Although obesity may appear to be a simple issue of increased body fat due to excess energy intake,  
64 effective guidance for weight control is lacking. Caloric restriction is generally first prescribed to lose  
65 weight, however maintenance of weight loss often remains an obstacle. While the extent to which a  
66 hypocaloric diet induces weight loss is heterogeneous, subsequent weight control shows even greater inter-  
67 individual variation. So far most attempts to predict weight loss during, or weight control after, caloric  
68 restriction have failed to provide useful predictive biomarkers (1).

69 Adipose tissue (AT) plays a pivotal role in obesity-related complications. In addition to storing and releasing  
70 excess energy loads, AT also secretes numerous bioactive factors, thus making it a potential source of  
71 biomarkers. Nutritional genomics can be used to determine how dietary interventions impact AT, and to  
72 identify genes that may cause or contribute to the development of obesity-related disease (2). Gene  
73 expression profiling studies in humans to identify transcriptional responses to diet and their molecular targets  
74 have shown that weight changes are a major contributor to altered AT gene expression (3-8).

75 In the present study, we used subcutaneous AT from obese individuals that followed a 8-month dietary  
76 intervention (DI), consisting of a 8-week calorie-restriction (CR) diet followed by a 6-month *ad libitum*  
77 follow-up. Discovery analyses used transcriptomics to identify genes that were differentially expressed  
78 between individuals that successfully maintained weight loss (good-controllers), and those that returned to  
79 their baseline weight during follow-up (poor-controllers). Validation analyses using RT-qPCR on a larger  
80 cohort confirmed identification of genes that show altered expression in response to weight changes during  
81 DI, as well as genes that have different expression levels at baseline, representing markers that are potentially  
82 indicative of an individual's ability to successfully maintain weight loss. Finally, for validated genes that  
83 encode secreted proteins, plasma protein levels were measured.

84

85 **MATERIALS & METHODS**

86 *Subjects and clinical evaluation*

87        These analyses used samples obtained from the DiOGenes Study (9), all participants signed an  
88 informed consent document after verbal and written instructions. As shown in Figure 1a, overweight  
89 individuals followed a low-calorie (800-1000 kcal/day) diet for 8 weeks; those that lost at least 8% of their  
90 baseline weight were randomized to one of four *ad libitum* follow-up diets or a control diet for 6 months  
91 (71% completed). Transcriptome analyses used individuals from the extremes of percentage weight change  
92 during DI (exclusion criteria are detailed in Supplementary Figure 1) that were group-matched for baseline  
93 age, weight, BMI, waist circumference, blood pressure, and insulin resistance measured by HOMA-IR  
94 (homeostatic model assessment index). This resulted in selection of 22 individuals: 11 good-controllers  
95 (either maintained weight loss or continued to lose during follow-up) and 11 poor-controllers (regained  
96 during follow-up, returning to their baseline weight).

97

98     *Adipose tissue fractionation and ex vivo cell culture*

99         Abdominal subcutaneous AT was obtained from 7 women (BMI  $25.3 \pm 4.5 \text{ kg/m}^2$ , age 27–50 years)  
100      undergoing plastic surgery. The study was approved by the University Hospital of Toulouse ethical  
101      committee, and conforms to the Declaration of Helsinki. From each AT sample, 1 gram was flash frozen and  
102      stored at -80°C, and 10 grams were digested using collagenase (10), adipocytes were separated from the SVF  
103      by washing and centrifugation. For use in gene expression analyses, adipocytes and SVF cells were  
104      homogenized in lysis buffer (miRNeasy kit, Qiagen) and stored at -80°C until RNA extraction. For use in  
105      secretion analyses, isolated packed adipocytes and SVF cells were maintained *ex vivo* at 37°C in endothelial  
106      culture basal medium with 0.1% fatty acid free bovine serum albumin at 2ml (500,000) adipocytes in 10ml  
107      medium or 300,000 SVF cells per 1ml medium, respectively. These conditioned media were collected after  
108      24 hours, centrifuged, and stored at -80°C.

109  
110     *Enzyme-linked immunosorbent assays (ELISA)*

111         Protein levels of EGFL6 (csb-el007475hu, Cusabio, Clinisciences, Nanterre, France), FSTL3  
112      (CEK1166, Cohesion Biosciences, Clinisciences), CRYAB (csb-el006008hu, Cusabio, Clinisciences) and  
113      IGFBP3 (CEK1195, Cohesion Biosciences, Clinisciences) were measured in duplicate, following  
114      manufacturer's instructions.

115  
116     *Gene expression studies*

117         *Transcriptome microarray assays*

118         Total RNA was extracted from AT (11) and transcriptomes measured using Agilent Whole Human  
119      Genome Microarray 4x44K v2 according to the manufacturer's recommendations (Agilent Technologies,  
120      design ID 026652) (6). Arrays were scanned using an InnoScan®710 scanner (Innopsys, Carbone, France),  
121      and images were quantified using MAPIX® v6.5.0 software (Innopsys). Microarray processing included  
122      background subtraction, loess intra-array normalization, and Gquantile inter-array normalization in limma  
123      (12).

124  
125         *RT-qPCR assays*

126         cDNA was prepared from 500 ng of total RNA and processed using the Biomark™ HD system with  
127      96.96 Dynamic Array IFC (BioMark) and TaqMan assays (Applied Biosystems) as described in (11). Raw  
128      data from the default global threshold setting (BioMark Real-time PCR Analysis V4.1.1, Fluidigm) were  
129      checked using the graphical representation of plate layout. Duplicate raw Ct values for the same gene were  
130      averaged, then relative gene expression was calculated as  $2^{-\Delta Ct}$ .

131  
132         *Statistical analyses*

133         *Clinical characteristics*

134 All analyses used R version 3.2.2. Differences between groups used nonparametric Mann-Whitney U  
135 test. Robust mixed ANOVA with bootstrapping (13) applying multiple trimmed group means (default level of  
136 20%) was used to compare within subject changes between groups, reporting the interaction effect.

137

#### 138 *Adipose tissue transcriptome: differential expression*

139 Principal component analysis (PCA) of transcriptome data identified one individual as an outlier and  
140 as such was excluded, analyses included 11 good-controllers and 10 poor-controllers. Differential expression  
141 (DE) consisted of 3 separate analyses, comparing  $\log_2$  transformed measures between groups at: i) baseline,  
142 ii) end of the DI, and iii) intra-individual  $\log_2$  fold-changes during DI. Analyses used limma package (13)  
143 and all 35,274 spots, because limma relies on the spread of variances pre-filtering is not recommended.  
144 Duplicate probes were removed after modeling, keeping that with the smallest p-value (27,385 unique  
145 probes), q-values were calculated using the qvalue package (14) on gene-level data (18,568 Entrez Genes).  
146 Comparisons of results always represent the same probe.

147

#### 148 *RT-qPCR validation*

149 RT-qPCR expression was measured for 310 individuals from the DiOGenes study. We applied linear  
150 regression models using age, sex, and change in BMI during DI as predictors, and either: i)  $\log_2$  transformed  
151 baseline expression, or ii) intra-individual  $\log_2$  fold-changes during DI, as dependent. For the latter,  
152 additional models added baseline BMI or an interaction with baseline BMI . PCA of RT-qPCR expression at  
153 end of DI showed that diet had no effect on global gene expression; addition of diet as a random effect to the  
154 above models did not alter results. Addition of centre as a random effect did not affect results when using  
155 intra-individual changes in expression, nor our top result when using baseline expression.

156

#### 157 *eQTL analysis*

158 Genome-wide associations were performed using the Illumina 660 chip imputed with European 1000  
159 Genomes (GRCh37) using Minimac3 (15), and  $\log_2$  transformed baseline mRNA expression measured by  
160 RT-qPCR in 346 individuals. QTL associations between single nucleotide polymorphisms (SNPs) and gene  
161 expression used linear mixed models (LMM). Transformed gene expression residuals from regression on  
162 age, sex, BMI and center were used as dependent, and individual SNPs as independent variables. GCTA  
163 software (16) was used for LMM computation with the 'loco' option to avoid deflation of the test statistics.  
164 The Genotype-Tissue Expression (GTEx) Portal (version 4.1, build 201), a database of human genome  
165 expression and regulation (17), was used to confirm results, reporting single-tissue eQTL p-values.  
166 LocusZoom (18) was used to display regional information of SNPs identified by eQTL analyses. eQTL were  
167 considered *cis* if lead SNPs were within 1Mb of the gene, and  $p < 5E-08$  was considered genome-wide  
168 significant.

170 *Pathway analyses*

171 Ingenuity Pathway Analysis (IPA, Qiagen, USA) was used to identify pathways and/or networks,  
172 using transcriptome expression ratios obtained from: i) DE results at end of DI for 86 genes that diverged  
173 ( $q<0.20$  and absolute  $\log_2$  expression ratios  $>0.6$ ), or ii) baseline DE results for 209 genes that classified  
174 poor- and good-responders ( $p<0.05$ ) (Figure 1b). The 18,568 genes were used as reference dataset (direct and  
175 indirect relationships were permitted), and genes reported as located in “Extracellular Space” were  
176 considered to encode secreted factors.

177

178 **RESULTS**

179 **Clinical characteristics of good- and poor-controllers**

180 There was no difference in baseline BMI between good- and poor-controllers ( $p=0.504$ ), nor were  
181 there any differences in clinical measures at baseline, although fasting fructosamine was marginally higher in  
182 good-controllers ( $p=0.078$ , Supplementary Table 1). Good-controllers lost more weight during CR than poor-  
183 controllers (13% vs. 9%,  $p<0.001$ ). As expected, at the end of the DI good-controllers showed improved  
184 health status, with significant differences in changes of fat mass, waist circumference, SBP, LDL-cholesterol,  
185 and C-reactive protein between groups (Table 1).

186

187 **Altered gene expression in response to the dietary intervention**

188 *Discovery analysis using microarrays*

189 We considered genes to have diverged expression if they were differentially expressed at the end of  
190 DI, and had differences in  $\log_2$  fold-changes between poor- and good-controllers during DI. There were 202  
191 diverged genes with  $q<0.20$ , of these 27 had  $q<0.05$ : 22 were down-regulated and 5 were up-regulated in  
192 good-controllers, but remained unchanged in poor-controllers (Table 2 & Figure 2a). Of these 27 genes, 6  
193 encoded secreted proteins (*LOXL2*, *IGFBP3*, *HTRA1*, *LEP*, *EGFL6*, *SPARC*).

194

195 *Pathway analysis*

196 IPA analysis using 86 genes with diverged expression ( $q<0.20$  & expression ratio at end of DI  $>0.6$ )  
197 found a regulatory network centered on *TGFB1*, due to the observed higher expression levels of *LOX*,  
198 *LOXL2*, *LAMB3*, *SPARC*, *CCND1*, and *INHBB* in poor-controllers at the end of the DI (Supplementary  
199 Figure 2). These genes were up-regulated in poor-controllers and down-regulated in good-controllers during  
200 DI (Supplementary Table 3).

201

202 *Validation analyses using RT-qPCR*

203 We validated 22 out of 24 genes selected from the 202 diverged genes ( $q<0.20$ ), using RT-qPCR .  
204 These 24 genes (9 with  $q<0.05$ , 15 with  $0.05<q<0.20$ ) were selected based on either the largest absolute DE,  
205 or potential to encode secreted factors. All 9 genes with  $q<0.05$  in DE analyses were replicated by RT-qPCR,

206 *EGFL6, TNMD, CES1, HSPB7, LEP, SPARC, VLDLR, LOXL2* were down-regulated and *IGFBP3* was up-  
207 regulated with increased weight loss during DI (Table 2). These genes were also associated with percent  
208 weight lost during CR ( $p<0.029$ ), and percent weight change during follow-up ( $p<0.005$ ). Of the 15 genes  
209 with  $0.05 < q < 0.20$  in DE analyses, 13 were replicated by RT-qPCR, all were down-regulated with increased  
210 weight loss during DI (Table 3). *AES, CCND1, CRYAB, FAM198B, FSTL3, INHBB*, and *LOX* were  
211 associated with percent weight lost during CR ( $p<0.012$ ), and all except *FSTL3* were associated with percent  
212 weight change during follow-up ( $p<0.010$ ) (Supplementary Table 7). Of these 22 genes, 19 (excluding  
213 *TNMD, NOMO1*, and *TPST2*) had significant associations with changes in fat mass, showing consistent  
214 directional effects with changes in BMI (Supplementary Table 7).

215 Figure 2b shows trajectories of expression during DI for the 22 validated genes, plotted by groups of  
216 decrease in BMI during the DI (Supplementary Table 2 shows clinical characteristics of the groups).  
217 *IGFBP3* had an inverted profile, being up-regulated during CR, then during follow-up had stabilized (higher)  
218 expression in individuals that had the greatest decrease in BMI, and was down-regulated in individuals that  
219 regained weight. All other genes were down-regulated during CR. During follow-up *LEP, SPARC,*  
220 *HSPB7, CES1, VLDLR, AES*, and *LOX* had stabilized (lower) expression in individuals that had the greatest  
221 decrease in BMI, while *EGFL6, TNMD, CRYAB, AKR1C3, FSTL3, FAM198B*, and *MTCH2* had continued  
222 down-regulation in individuals that had the greatest decrease in BMI.

#### 224 *Potential secreted biomarkers*

225 To characterize potential circulating biomarkers we measured expression of selected genes in  
226 adipocytes and SVF isolated from AT, checked for secretion, and measured secreted factors in plasma from  
227 individuals that decreased BMI by  $>10$  or  $<0$ , representing the top and bottom 5<sup>th</sup> percentile of change in  
228 BMI (Supplementary Table 2). *EGFL6, TNMD, SPARC, FSTL3*, and *CRYAB* were predominantly or  
229 exclusively expressed in adipocytes, whereas *IGFBP3* was predominantly expressed in the SVF (Figure 2c).  
230 Regarding secretion, *EGFL6, FSTL3* and *CRYAB* were detected in media from adipocytes but not SVF,  
231 *IGFBP3* was detected in both media (Table 4). Figure 2d shows changes in plasma concentrations during DI,  
232 there were significant decreases in *EGFL6* (57%,  $p=0.03$ ), *FSTL3* (26%,  $p=0.01$ ) and *CRYAB* (23%,  $p=0.07$ )  
233 in the group that decreased BMI by  $>10$ , and no significant changes in the group with a change in BMI  $<0$ .  
234 There were no significant changes in plasma *IGFBP3* in either group ( $p=0.31$ ). We also found a positive  
235 correlation between BMI and *FSTL3* levels in media from adipocytes ( $r=0.79$ ,  $p<0.05$ ; Supplementary  
236 Figure 3), and plasma ( $r=0.52$ ,  $p<0.05$ , not shown).

#### 238 *Differential expression independent of the dietary intervention*

##### 239 *Discovery analysis using microarray*

240 We identified 209 genes that were differentially expressed at both baseline and at the end of DI, which  
241 we considered to have classified poor- and good-controllers independent of DI, with about half more highly

242 expressed in either group (Supplementary Table 4).

244 **Validation analyses using RT-qPCR**

245 We selected 17 of the 209 classifier genes for validation by RT-qPCR, based on largest expression  
246 ratios at baseline and enriched for genes encoding secreted proteins. Of these, only *ASPN* and *USP53*  
247 showed associations with changes in BMI during DI. Higher baseline expression of *ASPN* was associated  
248 with a greater decrease in BMI during DI ( $p<0.001$ ), as well as higher baseline BMI ( $p<0.001$ ) and continued  
249 weight loss during follow-up ( $p=0.001$ ). When an interaction with baseline BMI was included, baseline  
250 expression of *USP53* was found to be associated with changes in BMI during DI ( $p=0.012$ , interaction  
251  $p=0.008$ ), having higher baseline expression in individuals that lost more weight during DI for individuals  
252 with higher baseline BMI. This association was attenuated when adjusted for centre, a potential confounder.  
253 There was also a positive association between baseline expression of *USP53* and baseline BMI ( $p=0.002$ ).  
254 Figure 3a shows trajectories of expression during DI for *ASPN* and *USP53*, for which baseline expression  
255 appears to be associated with weight control after CR.

256

257 **Properties of the validated classifiers**

258 *ASPN* was predominantly expressed in the SVF, whereas *USP53* was expressed in both adipocytes  
259 and SVF (Figure 3b). Evaluation of secretion of *ASPN* in AT fractions and plasma failed, as most samples  
260 were below the detection limit (8.59 pg/ml).

261

262 **eQTL analysis**

263 There was a genome-wide significant *cis*-eQTL and an almost significant *trans* eQTL between SNPs  
264 and RT-qPCR expression levels of *USP53*. The *cis*-eQTL included SNPs downstream of *USP53* (lead SNP:  
265 rs2168987;  $p=3.1\text{E-}08$ ; minor allele frequency=0.44), shown in Figure 3c. The minor T allele of rs2168987  
266 has been previously shown to be associated with higher *USP53* expression in AT (FDR<5%) (19). The *trans*-  
267 eQTL was on another chromosome, within *ZAK* (MAP3K MLT; lead SNP: rs3769187;  $p=2.3\text{E-}07$ ; minor  
268 allele frequency=0.21).

269

270 **DISCUSSION**

271 We aimed to identify biomarkers of weight control using individuals from the DiOGenes Study, a 2-  
272 phase DI including a 8-week CR phase and a 6-month *ad libitum* follow-up. To this end, we used AT  
273 transcriptomics to identify genes affected by weight change during the DI, as well as genes that were  
274 indicative of successful weight control after CR. We validated our results using RT-qPCR on a larger cohort  
275 from the same study, and focused on genes encoding secreted proteins. Discovery analysis compared groups  
276 of extreme responders: good-controllers (maintained weight loss during follow-up), and poor-controllers  
277 (regained weight during follow-up). Our discovery analyses made use of a small sample size, thus we

278 applied a relaxed selection criteria and assessed the robustness of the identified genes using a larger  
279 replication cohort. We did not adjust for energy intake as data was missing for almost half of the individuals  
280 used in these analyses. Diverged genes were altered in response to weight changes during DI; among the 22  
281 validated diverged genes we confirmed 3 as potential circulating biomarkers. Two genes for which baseline  
282 expression was indicative of weight control after CR were validated, their expression was not altered in  
283 response to the DI.

284 We have previously shown that AT signatures reflect the capacity to maintain body weight after CR  
285 (6), and that genes are generally down-regulated during CR (7,11). Here we found an exception, *IGFBP3*  
286 (Insulin-like growth factor binding protein 3) was up-regulated during CR, and subsequently down-regulated  
287 with weight gain during follow-up. At the end of DI individuals that decreased BMI by >10 had 56% higher  
288 AT expression of *IGFBP3* than those that returned to baseline weight. *IGFBP3* encodes the main insulin-like  
289 growth factor transport protein in blood and is known to inhibit adipogenesis (20) and to repress the  
290 transforming growth factor  $\beta$ 1 (TGF $\beta$ 1, a secreted cytokine in the TGF $\beta$  superfamily) signaling pathway  
291 (21). Pathway analysis found a regulatory network controlled by *TGFB1*, although *TGFB1* was not identified  
292 as differentially expressed in these analyses. We found that genes identified as diverged during DI were  
293 predominantly expressed in adipocytes rather than the SVF. Amongst the best candidate genes was *TNMD*,  
294 encoding tenomodulin, a type II transmembrane glycoprotein, whose expression was down-regulated in  
295 response to weight loss, and has been positively correlated with BMI (22). *TNMD* is known to be required  
296 for adipocyte differentiation and has been suggested as a protective factor against insulin resistance by  
297 promoting hyperplasia and beneficial lipid storage in visceral AT (23). We focused further work on other top  
298 candidates (*EGFL6*, *FSTL3* and *CRYAB*) encoding secreted proteins and with little known in the context of  
299 weight control.

300 To evaluate whether these could serve as circulating biomarkers, we compared plasma protein levels  
301 from individuals that decreased BMI >10 points and those that returned to baseline BMI at the end of DI.  
302 We found significant intra-individual decreases in circulating *EGFL6*, *FSTL3* and *CRYAB* during DI in  
303 individuals with good weight control, but no change in individuals with poor weight control. This was  
304 consistent with changes in AT expression of *EGFL6*, *FSTL3* and *CRYAB* and suggests that secretion from AT  
305 likely contributes to plasma levels of these proteins. *EGFL6* encodes epidermal growth factor-like domain  
306 multiple-6, a member of the epidermal growth factor repeat superfamily. It has been suggested that this  
307 paracrine/autocrine growth factor of AT is an extracellular matrix protein (24). *EGFL6* has previously been  
308 shown to have higher AT expression and secretion in obese versus lean individuals, to be down-regulated in  
309 obese patients after surgery-induced weight loss, and is potentially involved in the process of AT expansion  
310 and the development of obesity (24,25). Here, we showed long-term down-regulation of *EGFL6* after CR  
311 induced weight loss. *CRYAB*, encoding an  $\alpha$ -crystallin B chain, has been previously shown to have a positive  
312 association between BMI and AT expression, and increased levels during adipogenesis (26). While *EGFL6*  
313 and *CRYAB* are known adipokines, this is the first report of *FSTL3* as an adipokine. *FSTL3*, encodes  
314 follistatin-like 3, a member of the follistatin (FST)-related protein family (27). FST is known as an adipokine

315 with reduced expression and secretion in obese versus lean women (28). Both FST and FSTL3 are  
316 antagonists of activin and myostatin (29). FSTL3 is released by muscles (30) and adipose tissue (27). Here,  
317 we found a positive relationship between changes in BMI and *FSTL3* expression in AT, secretion by human  
318 adipocytes, and FSTL3 in plasma. Studies on FSTL3 null mice have shown a differential role of FST and  
319 FSTL3 on glucose homeostasis and body composition (31). Our observation of decreased *FSTL3* expression  
320 and plasma FSTL3 levels with greater decreases in BMI reveals a discrepancy between FST and FSTL3  
321 regarding body weight control.

322 A remarkable outcome of this study was the identification of genes for which baseline expression was  
323 associated with changes in BMI during DI. *ASPN* and *USP53* had higher baseline expression in individuals  
324 that exhibited better weight control after CR. *ASPN* (Asporin) had 2-fold higher baseline expression in  
325 individuals that went on to decrease BMI by >10 points versus those that returned to baseline weight during  
326 follow-up. High *ASPN* expression in AT appears to be a hallmark of individuals that successfully maintained  
327 weight loss, as *ASPN* expression was not regulated during CR or follow-up. We also found that *ASPN* was  
328 predominantly expressed in the SVF of AT, rather than adipocytes. *ASPN* belongs to a family of leucine-rich  
329 repeat proteins associated with the extracellular matrix and has been found to be expressed in many tissues  
330 (32). It has been suggested that extracellular matrix may constrain AT expandability (33), and here higher  
331 expression of *ASPN* in AT was relevant for the prevention of weight (re)gain. *ASPN* is a tumor suppressor  
332 and a TGF $\beta$ 1 inhibitor (34). This corresponds with our gene expression data showing higher baseline  
333 expression of *ASPN* associated with a greater decrease in BMI; suggesting that increased inhibition of the  
334 TGF $\beta$ 1 pathway by *ASPN* resulted in increased weight control after CR. *USP53* encodes ubiquitin specific  
335 peptidase 53, a tight junction-associated protein (35). The association between weight control and *USP53*  
336 was dependent on baseline BMI; here we found that in more obese individuals, higher expression was  
337 associated with increased weight loss. *USP53* expression was found to be genetically controlled as well, with  
338 both *cis-* and *trans*-eQTL. Our results indicate that AT mRNA levels of *ASPN* and *USP53* might be of  
339 interest as prognostic indicators of long-term response to weight reducing diets.

340 An interesting observation is the implication of TGF $\beta$ 1, a multifunctional growth factor with pro-  
341 fibrotic properties (32), both as a regulator of expression of certain genes that were down-regulated during  
342 DI, and as a target for *ASPN* that had higher expression during DI, in individuals with good weight control  
343 after CR. It has been suggested that excess fibrosis in AT may alter tissue remodeling and restrain loss of fat  
344 mass (36). The consistency of these observations emphasizes the potential role of AT fibrosis in long-term  
345 weight control.

346 In the present study, we identified a novel adipokine (FSTL3), as well as circulating biomarkers of  
347 weight control after CR that are secreted from adipocytes (EGFL6, CRYAB, and FSTL3). We also identified  
348 genes for which higher expression was associated with increased weight control after weight loss (*ASPN* and  
349 *USP53*). For use as biomarkers, these genes and circulating factors now need to be evaluated in other  
350 cohorts.

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482 **TABLES**

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484 **Table 1.**485 **Clinical characteristics of study participants with good- or poor- weight control during DI.**

	Good-controllers (n=11)			Poor-controllers (n=10)					
Sex (M/F)	4 / 7			2 / 8					
Diet (n)	1(3), 2(1), 3(2), 5(5)			1(0), 2(4), 3(1), 5(5)					
	n	Mean (sd)		n	Mean (sd)		P*		
Age (yr)	11	44.5 (7.6)		10	46.7 (5.2)		0.777		
Percentage Weight Lost (%)	11	21.1 (2.0)		10	1.1 (0.7)		<0.001		
LCD Weight Lost (kg)	11	12.7 (4.6)		10	8.2 (1.5)		0.002		
Percent LCD Weight Lost (%)	11	13.2 (3.5)		10	9.0 (1.1)		<0.001		
		Baseline	After DI		Baseline	After DI	P**		
BMI (kg/m <sup>2</sup> )	11	33.0 (2.7)	11	26.0 (2.2)	10	32.2 (3.6)	10	31.9 (3.5)	<0.001
Total Energy (kJ/day)	11	9412 (3804)	5	7056 (2725)	9	8707 (2671)	8	8823 (2517)	0.062
Fat Mass (%)	9	37.5 (6.4)	11	29.3 (5.9)	8	40.1 (8.5)	10	37.9 (10.0)	<0.001
Waist Circumference (cm)	10	103.3 (13.4)	11	86.5 (9.6)	10	102.1 (5.5)	10	99.7 (4.9)	0.028
Waist to Hip Ratio	10	0.90 (0.14)	11	0.87 (0.08)	10	0.92 (0.09)	10	0.90 (0.08)	0.648
SBP (mmHg)	9	124 (11)	11	119 (10)	10	117 (13)	10	123 (12)	<0.001
DBP (mmHg)	9	73 (8)	11	71 (11)	10	69 (10)	10	74 (10)	0.072
Fasting Cholesterol (mmol/L)	11	4.97 (0.33)	11	4.73 (0.30)	10	5.07 (0.98)	10	5.50 (0.76)	0.058
Fasting HDL (mmol/L)	11	1.45 (0.3)	11	1.59 (0.31)	10	1.33 (0.42)	10	1.51 (0.31)	0.563
Fasting LDL (mmol/L)	11	3.02 (0.41)	11	2.68 (0.47)	10	3.17 (0.64)	10	3.43 (0.67)	0.031
Fasting TG (mmol/L)	11	1.12 (0.23)	11	1.02 (0.35)	10	1.27 (0.55)	10	1.25 (0.35)	0.058
Fasting Fructosamine (μmol/L)	11	216.3 (16.2)	11	224.6 (14.7)	10	204.8 (15.9)	10	215.6 (15.3)	0.802
Fasting Adiponectin (μg/mL)	11	10.49 (5.34)	11	13.64 (3.73)	10	11.05 (5.18)	10	11.49 (5.51)	0.292
Fasting CRP (mg/L)	10	2.69 (1.24)	10	1.71 (2.34)	10	3.71 (2.86)	9	2.96 (1.38)	0.015

Fasting Glucose (mmol/L)	10	4.99 (0.64)	11	4.52 (0.27)	10	5.15 (0.43)	10	4.98 (0.43)	0.458
Fasting Insulin ( $\mu$ IU/mL)	11	8.11 (4.37)	7	5.98 (4.58)	9	9.23 (2.62)	10	9.85 (5.26)	0.208
HOMA-IR	10	1.96 (1.07)	7	1.19 (0.82)	10	2.27 (0.82)	10	2.12 (1.12)	0.565

\* P from Mann-Whitney U test \*\* P from bootstrapped mixed robust ANOVA (interaction term) testing whether the intra-individual changes in measures between baseline and after the dietary intervention were different between the groups (Mann-Whitney U test comparing the groups at baseline and end of follow-up are available in Supplementary Table 1).

Data are presented as (mean + sd). Groups represent good- and poor- controllers used in microarray analyses.

DI – dietary intervention; BMI – Body mass index; SBP – Systolic blood pressure; DBP – Diastolic blood pressure; HDL – High density lipoprotein; LDL – low density lipoprotein; TG – triglycerides; CRP – C-reactive protein; HOMA-IR- homeostatic model assessment index for insulin resistance

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**Table 2.**  
Differential expression and validation results for 27 genes ( $q < 0.05$ ) with diverged expression associated with changes in BMI during the dietary intervention

	Discovery analyses (n=21)		Validation analyses (n=310)		
	ratio after DI *	q-value	mean log <sub>2</sub> FC ‡ (poor / good)	estimate §	p-value
<b>Genes more highly expressed in poor-controllers</b>					
<i>EGFL6</i> §	2.70	0.037	0.16 / -2.54	0.28	<0.001
<i>TNMD</i>	1.41	0.042	0.41 / -1.00	0.13	<0.001
<i>CES1</i>	1.31	0.003	0.22 / -1.10	0.82	<0.001
<i>UCHL1</i>	1.26	0.035	0.04 / -1.22	-	-
<i>HSPB7</i>	1.16	0.011	0.21 / -0.95	0.81	<0.001
<i>LEP</i> §	1.16	0.014	0.17 / -0.99	0.99	<0.001
<i>TNFRSF25</i>	1.03	0.031	0.42 / -0.61	-	-
<i>SPARC</i> §	0.96	0.042	0.16 / -0.80	5.89	<0.001
<i>ABCC6</i>	0.90	0.015	0.14 / -0.77	-	-
<i>NANOS1</i>	0.90	0.044	0.49 / -0.41	-	-
<i>VLDLR</i>	0.88	0.042	0.28 / -0.59	0.24	<0.001
<i>SYNPO</i>	0.87	0.050	0.33 / -0.54	-	-
<i>LOXL2</i> §	0.86	0.006	0.32 / -0.54	0.03	0.003
<i>ASAHI</i>	0.82	0.006	0.10 / -0.73	-	-
<i>MRAS</i>	0.76	0.015	0.29 / -0.48	-	-
<i>VKORC1L1</i>	0.74	0.026	0.25 / -0.49	-	-
<i>GLIPR2</i>	0.73	0.050	0.12 / -0.61	-	-
<i>GPX1</i>	0.72	0.042	0.03 / -0.69	-	-
<i>MSTO1</i>	0.70	0.026	0.24 / -0.46	-	-
<i>CALU</i>	0.64	0.045	0.27 / -0.37	-	-
<i>HTRA1</i> §	0.63	0.024	0.12 / -0.51	-	-
<i>LOC729013</i>	0.53	0.031	0.09 / -0.44	-	-
<b>Genes more highly expressed in good-controllers</b>					
<i>EIF4B</i>	-0.47	0.044	-0.09 / 0.38	-	-
<i>BTF3P11</i>	-0.56	0.035	-0.13 / 0.43	-	-
<i>AASS</i>	-0.56	0.035	-0.01 / 0.55	-	-
<i>ADH1B</i>	-0.85	0.015	-0.09 / 0.76	-	-
<i>IGFBP3</i> §	-0.89	0.003	-0.16 / 0.73	-0.33	<0.001

§ genes that encode secreted proteins.

\* ratio of expression at end of dietary intervention = poor-controllers/good-controllers

‡ positive log<sub>2</sub>FC means expression increased during dietary intervention (DI)

§ estimate for age and sex adjusted association between change in expression and change in BMI during dietary intervention (change calculated as end of DI - baseline)

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496**Table 3.**

Differential expression and validation results for 13 genes ( $0.05 < q < 0.20$ ) with validated diverged expression associated with changes in BMI during the dietary intervention

	Discovery analyses (n=21)			Validation analyses (n=310)	
	ratio after DI *	q-value	mean log <sub>2</sub> FC ‡ (poor / good)	estimate §	p-value
AKR1C3	0.97	0.077	0.16 / -0.80	1.11	<0.001
VGLL3 <sup>s</sup>	0.86	0.139	0.22 / -0.64	0.004	0.036
FSTL3 <sup>s</sup>	0.85	0.080	0.26 / -0.59	0.01	0.021
MTCH2	0.81	0.085	0.28 / -0.52	0.08	0.008
FAM198B	0.72	0.176	0.28 / -0.44	0.22	<0.001
LOX	0.69	0.189	0.29 / -0.40	0.09	<0.001
CRYAB <sup>s</sup>	0.63	0.156	0.14 / -0.49	6.92	<0.001
CCND1	0.62	0.081	0.19 / -0.43	0.21	<0.001
MECR	0.57	0.080	0.20 / -0.38	0.02	0.001
TPST2	0.52	0.149	0.08 / -0.45	0.03	0.016
NOMO1	0.44	0.124	0.14 / -0.30	0.11	<0.001
INHBB	0.43	0.154	0.25 / -0.19	0.04	0.002
AES	0.36	0.189	0.18 / -0.18	0.19	0.001

<sup>s</sup> genes that encode secreted proteins.

\* ratio of expression at end of dietary intervention = poor-controllers/good-controllers

‡ positive log<sub>2</sub>FC means expression increased during dietary intervention (DI)

§ estimate for age and sex adjusted association between change in expression and change in BMI during dietary intervention (change calculated as end of DI - baseline)

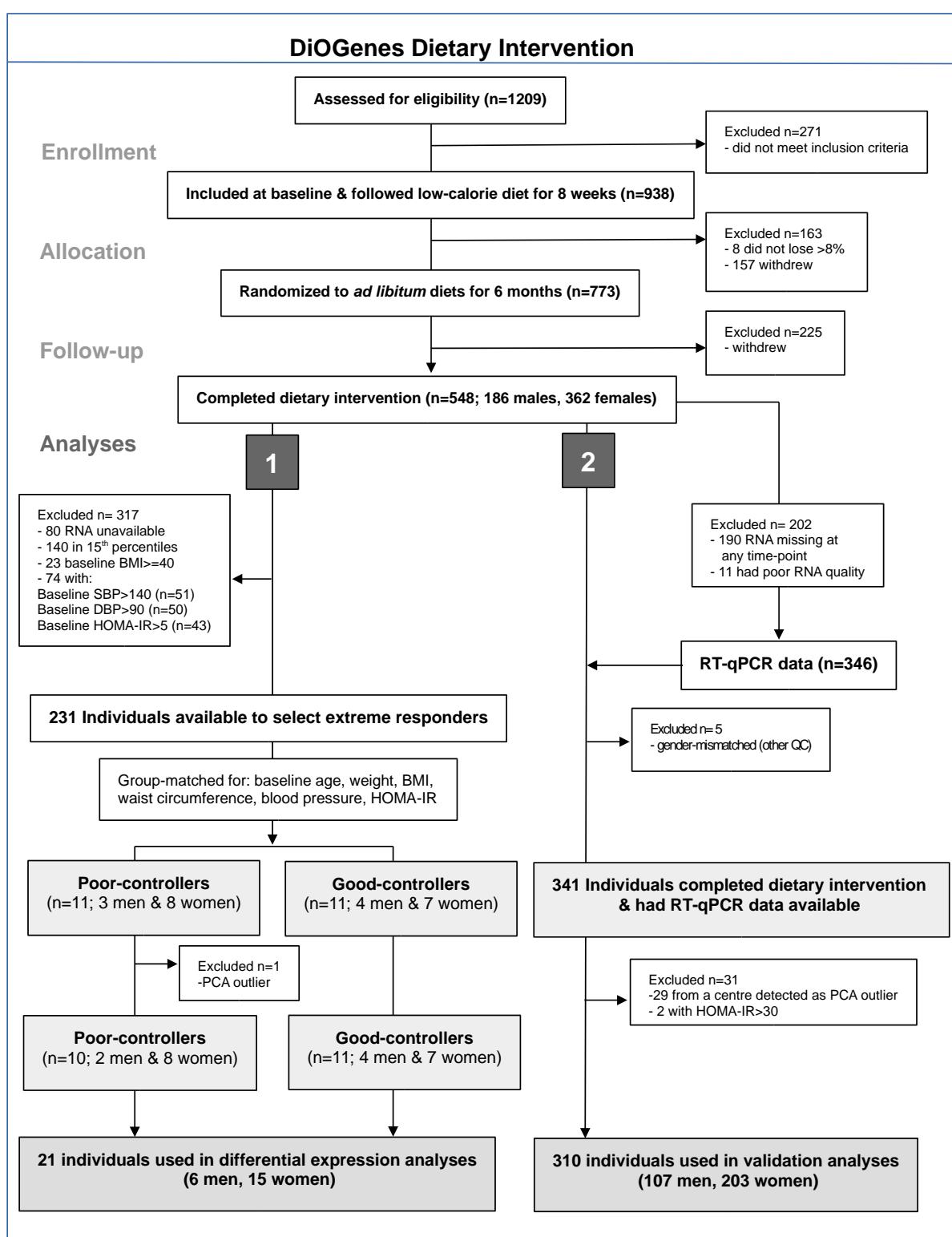
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504**Table 4.**  
**Localization of secretion of protein in adipose tissue fractions**

Adipokine	Adipocytes (pg/ml)	SVF (pg/ml)
EGFL6	26.8 ± 1.9	<19.5
FSTL3	25.9 ± 1.9	<10
CRYAB	107.3 ± 31.0	<3.2
IGFBP3	1432 ± 357	451 ± 335

Adipokines concentration was measured in media from isolated adipocytes and stroma-vascular cells (SVF) from human subcutaneous abdominal adipose tissue cultured for 24h (n=7). Data are presented as mean ± sem.

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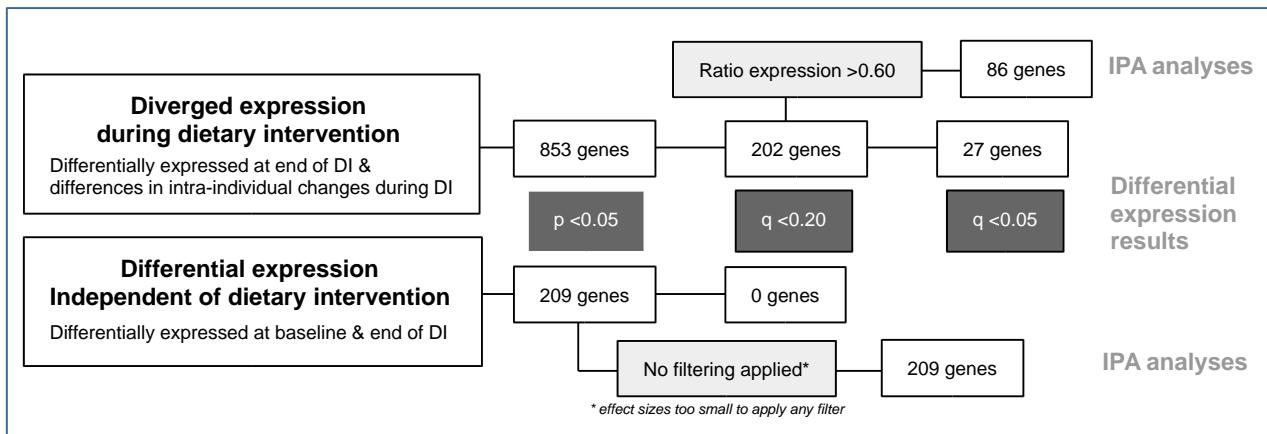
506 **FIGURES**507  
508 **Figure 1. Flowcharts of DiOGenes study design and analyses results.**535 **a. Flowchart of the DiOGenes study population used in differential expression (1) and validation (2)**  
536 **analyses**537 Path 1: Selection of individuals to include in differential expression (transcriptome) analyses of extreme  
538 responders.

539 Path 2: Selection of individuals for use in validation (RT-qPCR) analyses.

540 RT-qPCR = Reverse transcription quantitative polymerase chain reaction

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543 **b. Flowchart of number of genes identified based on level of significance applied for each: diverged expression during dietary intervention, and differential expression independent of dietary intervention.**

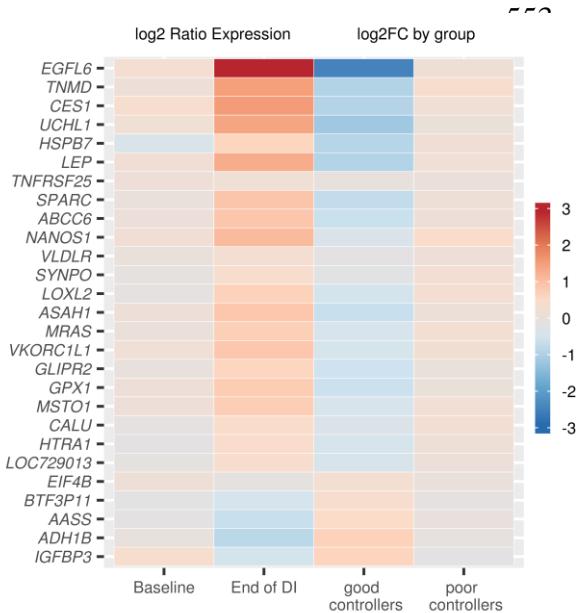
546 Genes that showed diverged expression or were independent of dietary intervention required the stated level  
547 of significance at both time-points.

548 DI = dietary intervention

549 IPA = Ingenuity pathway analyses

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551      **Figure 2. Evaluation of genes identified as having altered expression during the dietary intervention.**  
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569      **a. Heatmap of expression ratios and fold-changes for the 27 genes identified as significantly diverged  
570      ( $q < 0.05$ ) during the dietary intervention.**

571      Summary of the top results obtained from differential expression analyses of microarray expression data  
572      (n=21). The two columns on the left represent log<sub>2</sub> ratios of expression (poor-controllers / good-controllers)  
573      at baseline and after DI. The two columns on the right represent log<sub>2</sub> FC during the dietary intervention for  
574      good-controllers and poor-controllers. Legend shows log<sub>2</sub> values.

575      log<sub>2</sub> FC = log<sub>2</sub> Fold-change.

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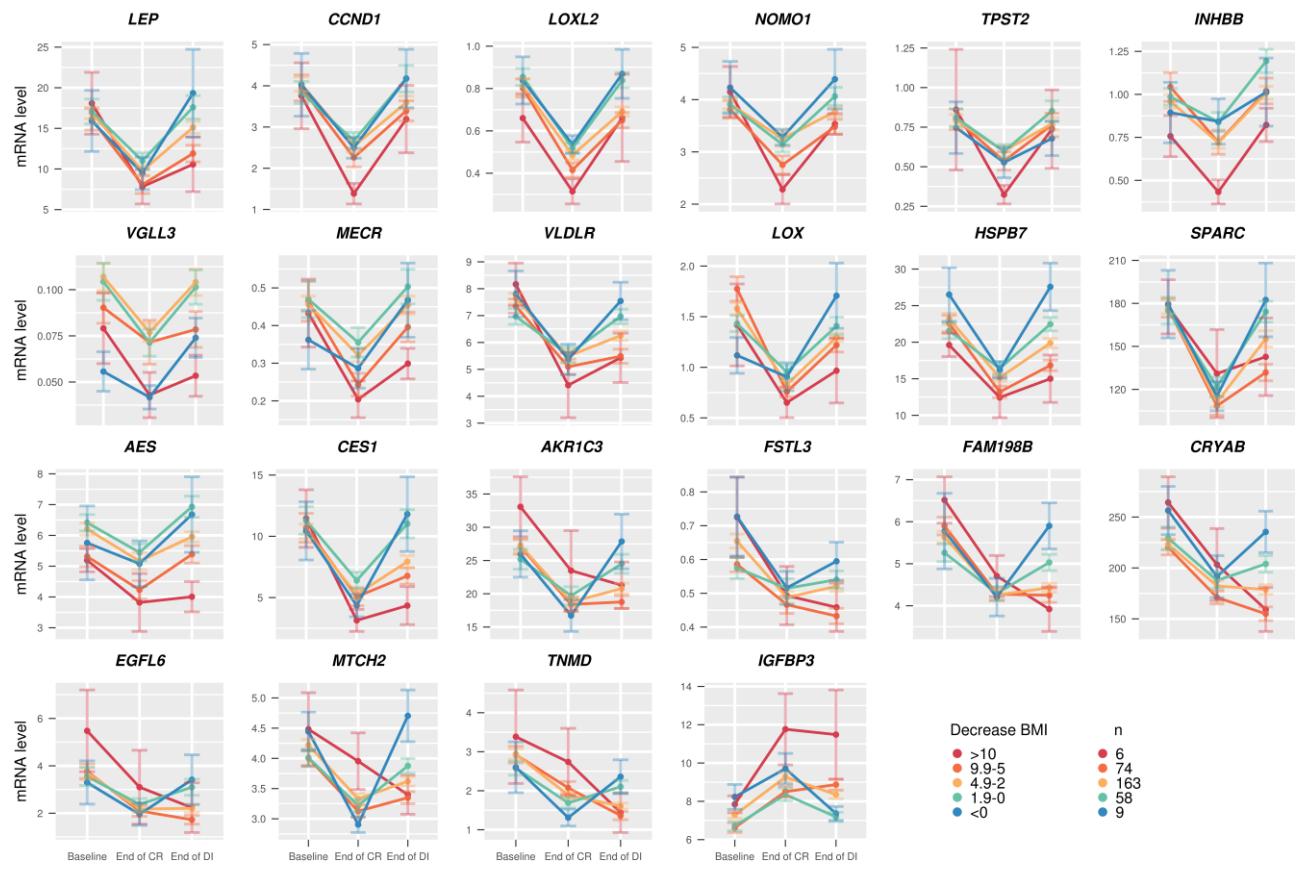
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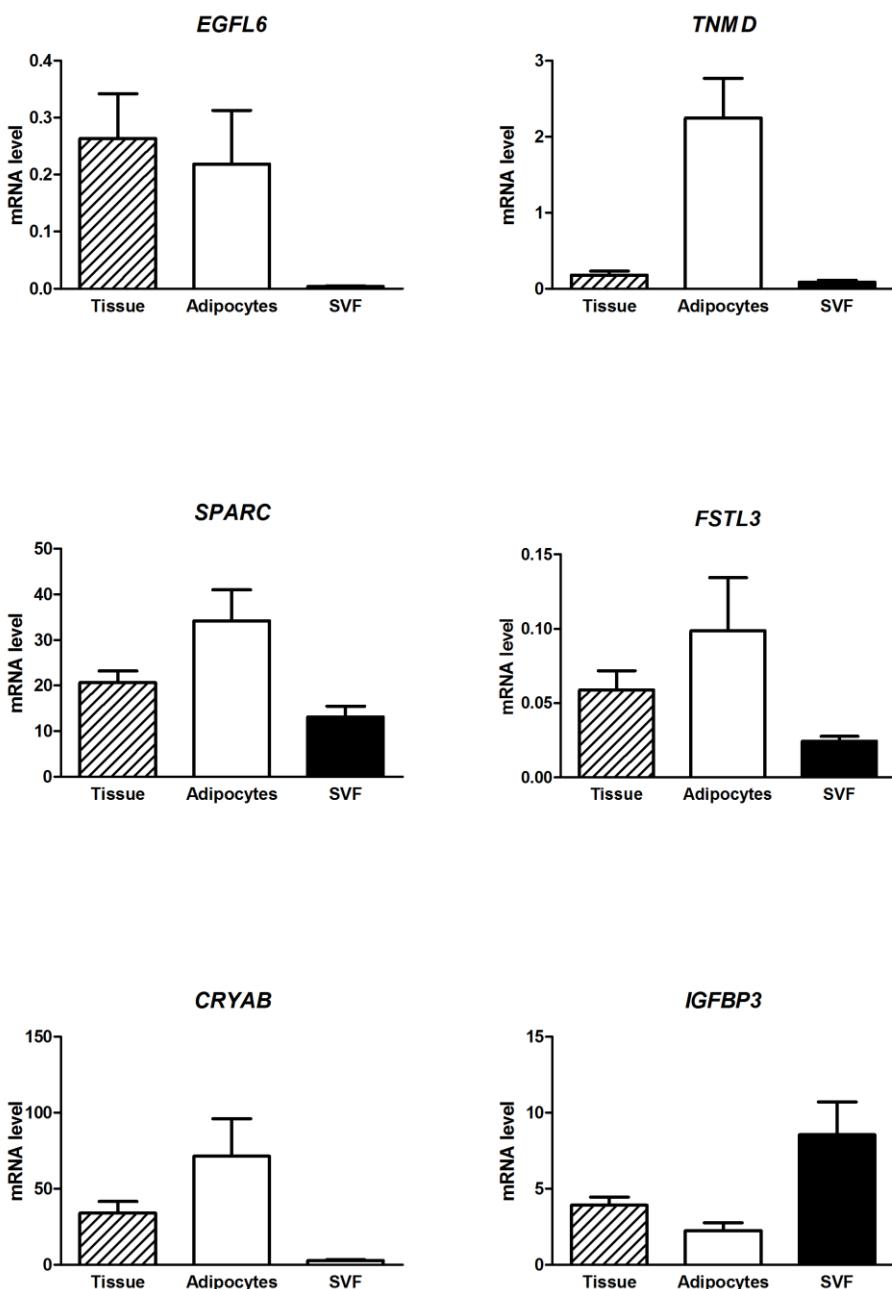
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### b. Evolution of expression for 22 genes validated by RT-qPCR.

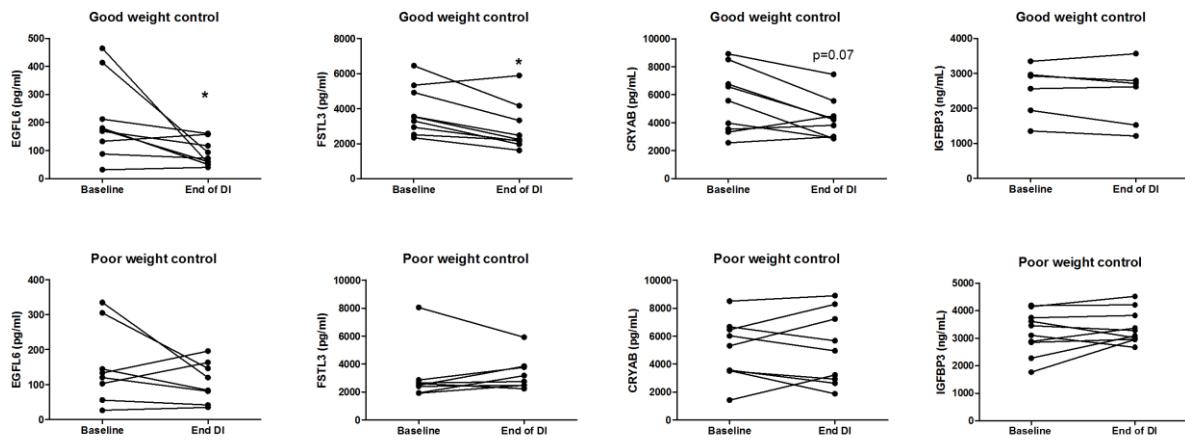
Evolution of relative expression measured by RT-qPCR ( $n=310$ ) at baseline, end of calorie-restriction (CR), and end of the dietary intervention (DI), grouped by decrease in BMI during the DI, and ordered by patterns of changes in expression. Points represent mean relative expression for each group and bars represent mean +/- sem.



675 **c. Localization of expression in adipose tissue for 6 genes encoding secreted proteins.**

676 Expression levels of EGFL6, TNMD, SPARC, FSTL3, CRYAB, and IGFBP3 in adipose tissue, adipocytes,  
677 and stroma-vascular fraction. mRNA levels were measured in paired samples of freshly isolated adipocytes  
678 ( $n=7$ ) and stroma-vascular fraction (SVF,  $n=6$ ) from human subcutaneous abdominal adipose tissue ( $n=5$ ).  
679 Data are presented as mean  $\pm$  sem.

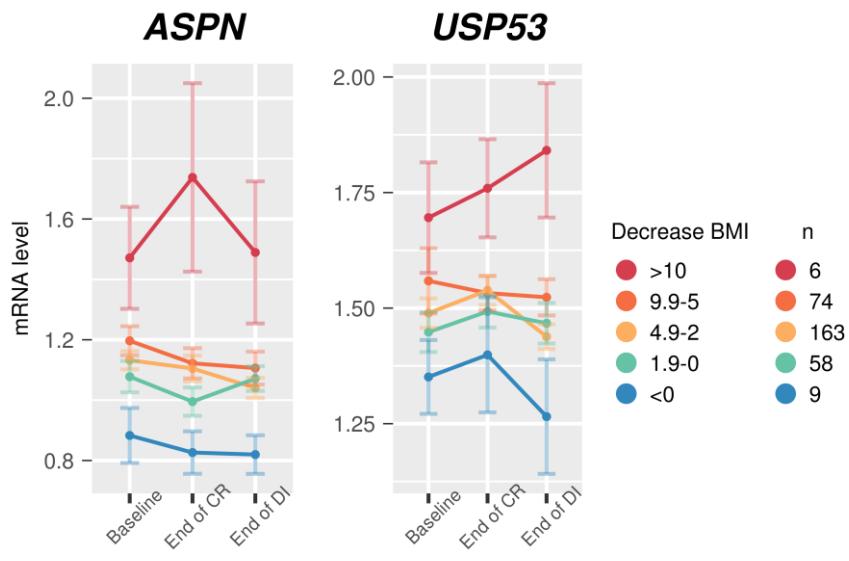
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#### 691 d. Changes in plasma levels for 4 genes encoding secreted proteins.

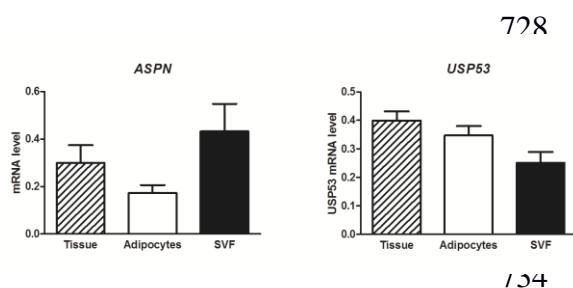
692 Intra-individual changes in plasma levels of EGFL6, CRYAB, FSTL3 and IGFBP3. Protein levels were  
 693 measures in plasma samples obtained before and at the end of dietary intervention, for individuals from the  
 694 top 5 percentiles of changes in BMI during the dietary intervention (poor weight control, n =7- 9; good  
 695 weight control, n=8-9).

697 **Figure 3. Evaluation of genes identified as classifiers of weight control independent of the dietary  
698 intervention.**



718 **a. Evolution of expression for *ASPN* and *USP53* identified as classifiers at validated by RT-qPCR.**

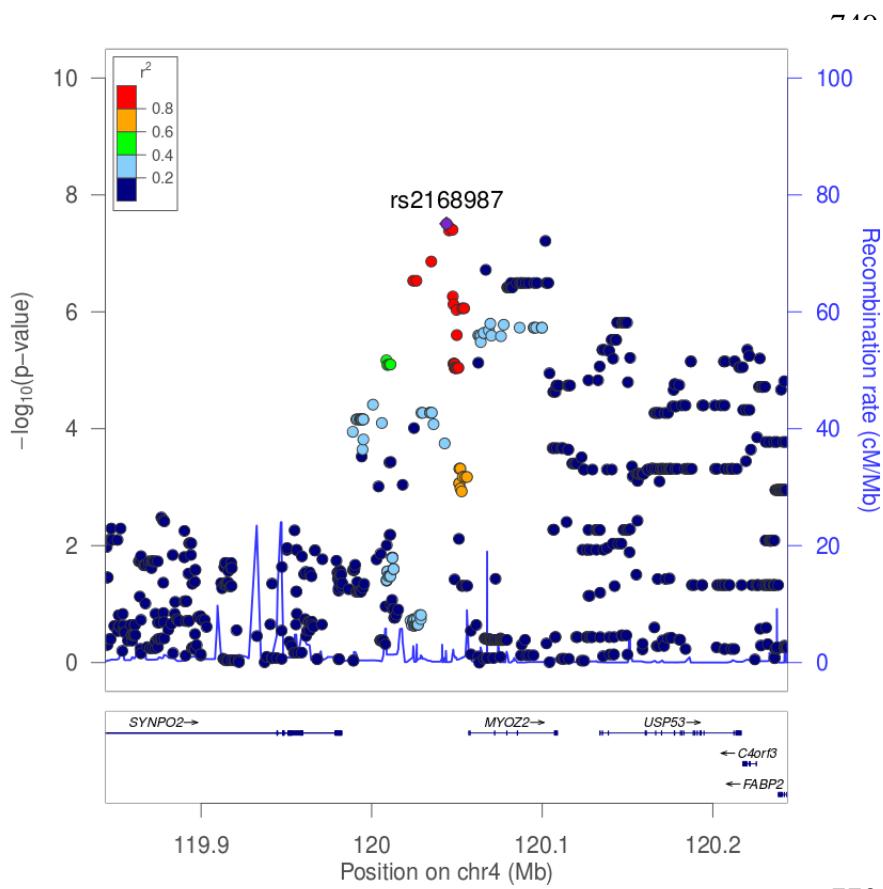
719 Evolution of relative expression measured by RT-qPCR (n=310) at baseline, end of calorie-restriction (CR),  
720 and end of the dietary intervention (DI), grouped by decrease in BMI during the DI. Points represent mean  
721 relative expression for each group and bars represent mean +/- sem.



735 **b. Localization of expression in adipose tissue cells for *ASPN* and *USP53*.**

736 Expression levels of *ASPN* and *USP53* in adipose tissue, adipocytes, and stroma-vascular fraction (SVF).  
737 mRNA level was determined in paired samples of freshly isolated adipocytes (n=7) and SVF (n=6) from  
738 human subcutaneous abdominal adipose tissue (n=5). Data are presented as mean ± sem.

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771 **c. LocusZoom plot of rs2168987 identified by eQTL analyses to be associated with expression of USP53**  
772 Plot showing the lead SNP identified by eQTL analysis as a purple diamond. The y-axis represents  $-\log_{10}$  p-  
773 values obtained from eQTL analyses, and the points are coloured to represent correlation with the lead SNP.  
774 Points in red are interchangeable with the lead SNP, whereas points in blue are independent.